

## UNIVERSITY OF CALIFORNIA

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

OFFICE OF RESEARCH AND GRADUATE STUDIES

Innovation Alliances and Services  
1111 Franklin Street, 5<sup>th</sup> Floor  
Oakland, California 94607-3200  
Tel: (510) 587-6000  
Fax: (510) 587-6090

July 11, 2012

**Urgent Facsimile (571) 273-6500**

07/30/2012 MBANGURA 00000007 210649 6128431

01 FC:1599 2440.00 DA

Attention: Maintenance Fee Division

Re: US Patent 6,128,431

Issued: October 3, 2000

US Application No. 09/169,021

Filed: October 8, 1998

**HIGH EFFICIENCY SOURCE COUPLER FOR OPTICAL WAVEGUIDE  
ILLUMINATION SYSTEM**

Dear Sir/Madam,

This letter is to advise you that The Regents of the University of California, Assignee of the above reference US Patent, is no longer entitled to claim small entity status.

The 12 year US maintenance fee was paid on June 29, 2012 at a small entity rate in the amount of \$2,365.00

The deficiency amount owed is \$2,515.00. This amount includes the difference of \$2,365.00 to correct the fee due for large entity status and the surcharge late payment fee for \$150.00.

Please charge the deficiency amount owed in the sum of \$2,515.00 to our deposit account number 21-0649.

If further authorization is needed, please contact me at (510) 587-6000.

Thank you for your assistance in this matter.

Sincerely,

  
John Shih

Interim Patent Prosecution Manager

(john.shih@ucop.edu)

Adjustment date: 01/18/2013 CKHLOK  
07/30/2012 MBANGURA 00000007 210649 6128431  
01 FC:1599 2440.00 CR

JS:j

01/18/2013 CKHLOK 00000009 210649 6128431  
01 FC:1599 2440.00 DA